

Understanding Transients Through (UV) Spectroscopy

(with a focus on core-collapse SNe)

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Layout

- Basics of massive star evolution and explosion
- When/how are core-collapse SNe luminous in the UV?
- Insights from modeling:
 - Shock breakout
 - Explosions in a vacuum
 - Explosions in a CSM and proper interacting SNe
 - Late-time interaction
 - TDE (teaser)
- The need for UV data

Massive star evolution

Evolution of the core:

Nuclear burning in deep interior: H → He → C, O → Si → Fe core
Mixing processes affect surface composition (e.g. N enrichment)

Evolution of the envelope/surface:

$\dot{M} \sim 10^{-5} M_{\odot}/\text{yr}$, $v_{\infty} = 10 - 10^3 \text{ km/s}$, $M_{\text{final}} < M_{\text{initial}}$

Close binary interaction:

\dot{M} up to $\sim 10^{-3} M_{\odot}/\text{yr}$

Wide range of possibilities (Case A,B,C .. mass transfer, Common Envelope, Merger etc)

Massive star properties inferred from SN observations:

10-25 M_{\odot} RSG (BSG) stars with massive H-rich envelope => Type II-Plateau (few II-pec)

3-5 M_{\odot} RSG/YSG and WR stars from binaries => Type IIb, Ib, Ic

Huge diversity of interacting SNe (unclear origin) => Type IIn, Ibn (few Icn and Ian)

Chronology of events in the life of a core-collapse SN

- **1 sec**: Fe core collapse, bounce, shock revival
- **1 min to 1 day**: shock propagates through envelope and **breaks out** (1st EM signature)
- **At breakout**: $E_{\text{rad}} \sim E_{\text{kin}}$; $E_{\text{rad}} \gg E_{\text{th}}$; $\tau_{\text{cont}} \sim 10^6$
- **Mins to days**: Final ejecta acceleration to homology ($V \propto R$)
- **Ejecta properties**: $E_{\text{kin}} \sim 10^{51} \text{erg}$, $M_{\text{ejecta}} \sim \text{few } M_{\odot}$, $V_{\text{exp}} \sim 3000 \text{km/s}$, $M(^{56}\text{Ni}) \sim 0.1 M_{\odot}$

- **Generic** subsequent Evolution controlled by
 - Cooling** (Expansion & Radiative losses)
 - versus **Heating** (Radioactive decay / Recombination / CSM interaction / Magnetar ...).
 - modulo **Transport** (dynamic radiative diffusion --- opacity/composition/ionization)

Their variations cause the diversity of CCSN Light Curves and Spectra

- **Weeks to months**: Photospheric phase ($\tau \gg 1$)
- **After a (few) month(s)**: Transition to **Nebular phase** ($\tau \ll 1$)

Properties and processes

- **At breakout** : $T_{\text{phot}} \sim 10^5\text{-}10^6\text{K}$ for R_{star} from 1 to 10^3R_{sun} (WR or RSG)
 - => Radiative precursor: flash of X-ray/UV radiation for R_{star}/c (or $\tau R_{\text{star}}/c$) => **UV luminous**
- **Adiabatic cooling**: $T(m) \sim 1/R(m)$. Steeper with radiative losses + acceleration
 - => $T_{\text{phot}} > 10^4\text{K}$ for $\sim 0.1\text{--}10\text{d}$ (WR-RSG) => Hot BB, ionizing photons => **UV luminous**
 - => Key processes: Photoionization + recombination, electron scattering
 - => Photospheric layer conveys information on T , ionization, composition, ρ , R_{star}
 - Note: $\rho(m,t) \sim \rho(m,t_0) (t_0/t)^3$ so $1\text{d} \rightarrow 2\text{d}$ comparable to $1\text{yr} \rightarrow 2\text{yr}$
- **Interaction with atmosphere, wind, or distant CSM**:
 - => many mechanisms: **wave excitation, nuclear flashes, binary effects/RLOF ...**
 - => Potential power source at all times
 - => Thermalized shock power comes out in UV and optical => **UV luminous**

Insights from modeling

Previous work on modeling UV radiation from SNe

- **Pluses:** origin of emission (RS/FS), flash ionization of ER in 87A, N/C ratio (Fransson et al.)
- **Minuses:** Only few SNe modeled (generally SNe with signs of interaction)
Lack of a physically consistent model

Future work: Aim for global consistency

- Need for a stellar evolution and explosion model (progenitor \leftrightarrow ejecta \leftrightarrow CSM)
- Need for Radiation-hydrodynamics (RHD) and Radiative transfer (RT) (light curves and spectra)
- Need to confront to better data (Rapid response, early/late times, UV & optical)
- Need data for **all** core-collapse SN types (so far, brightest objects, usually interacting)

Numerical approaches (personal)

1) Combined RHD + post-processing with RT :

-> Option 1: assume homologous expansion => nonLTE time-dependent RT (e.g., for SN with no interaction)

-> Option 2: non-monotonic velocity solver => nonLTE steady-state RT (e.g., for strong interactions)

2) Treatment of shock power in nonLTE time-dependent RT (e.g., for weak interactions)

1D Non-LTE Time-Dependent Radiative Transfer with **CMFGEN**

(Hillier & Miller 1998; Dessart & Hillier 2005ab,2008; Hillier & Dessart 2012)

Gas

Rate Equation:
$$\rho \frac{D(n_i / \rho)}{Dt} = \frac{1}{r^3} \frac{D(r^3 n_i)}{Dt} = \sum_{j \neq i} (n_j R_{ji} - n_i R_{ij})$$

& charge conservation

Coupling

Energy Equation:
$$\rho \frac{De}{Dt} - \frac{P}{\rho} \frac{D\rho}{Dt} = 4\pi \int_0^\infty \chi_\nu (J_\nu - S_\nu) d\nu + \underbrace{De_{decay}/Dt + De_{shock}/Dt}_{\text{optional}}$$

Radioactive decay

Shock power

where e = internal energy/unit mass

$$= \frac{\frac{3}{2} kT(n + n_e)}{\mu m_H n} + \frac{\sum n_i E_i}{\mu m_H n} \quad (\text{Excitation + Ionization})$$

Radiation

RTE 0th moment:
$$\frac{1}{cr^3} \frac{D(r^3 J_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H_\nu)}{\partial r} - \frac{\nu V}{rc} \frac{\partial J_\nu}{\partial \nu} = \eta - \chi J_\nu$$

RTE 1st moment:
$$\frac{1}{cr^3} \frac{D(r^3 H_\nu)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 K_\nu)}{\partial r} + \frac{K_\nu - J_\nu}{r} - \frac{\nu V}{rc} \frac{\partial H_\nu}{\partial \nu} = -\chi H_\nu$$

Non-LTE Time-Dependent Radiative Transfer with **CMFGEN**

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Radioactive decay

In case of a **weak** interaction with CSM, we can treat shock power directly in RT (energy equation)

power

=> Standard nonLTE time-dependent approach

In case of a **strong** interaction with CSM, we must take initial conditions (R, V, T, ρ) from RHD simulation

=> Steady state, non-monotonic velocity solver with T set to T_{hydro}

Various approaches offer flexibility to model SNe at different times with different power sources

Radiat

RTE 0th moment:

$$\frac{1}{cr^3} \frac{D(r^3 J_v)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 H_v)}{\partial r} - \frac{vV}{rc} \frac{\partial J_v}{\partial v} = \eta - \chi J_v$$

RTE 1st moment:

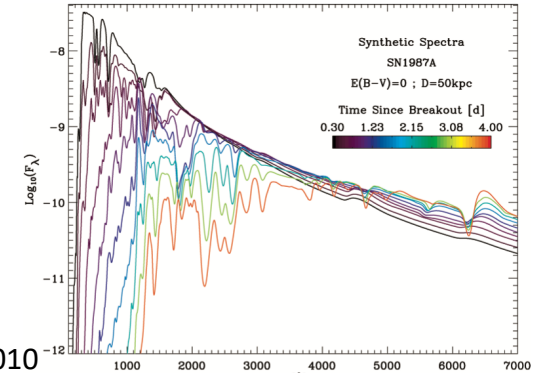
$$\frac{1}{cr^3} \frac{D(r^3 H_v)}{Dt} + \frac{1}{r^2} \frac{\partial(r^2 K_v)}{\partial r} + \frac{K_v - J_v}{r} - \frac{vV}{rc} \frac{\partial H_v}{\partial v} = -\chi H_v$$

Model LCs and spectra for BSG and RSG explosions – No CSM

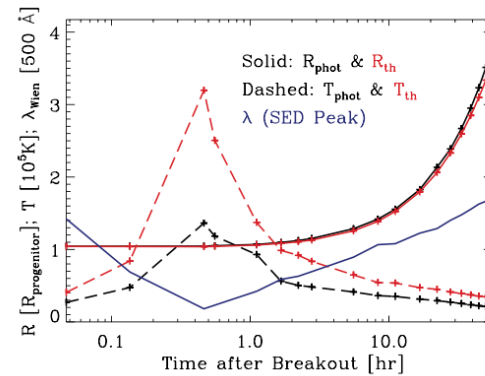
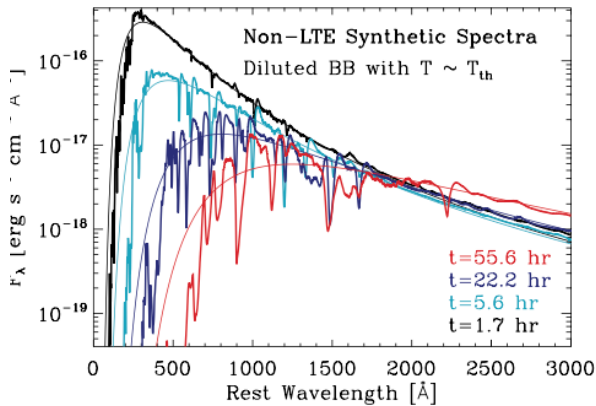
- **Method:** nonLTE steady-state RT
- Rapid redward color evolution => Probe photosphere cooling
- Increase in line widths (Doppler broadened) => ejecta acceleration
- High ionization lines of He, CNO etc in the UV
- Potential constraints on abundances, metallicity, reddening

Problem: Models are unconstrained at such times : no UV, no optical spectra

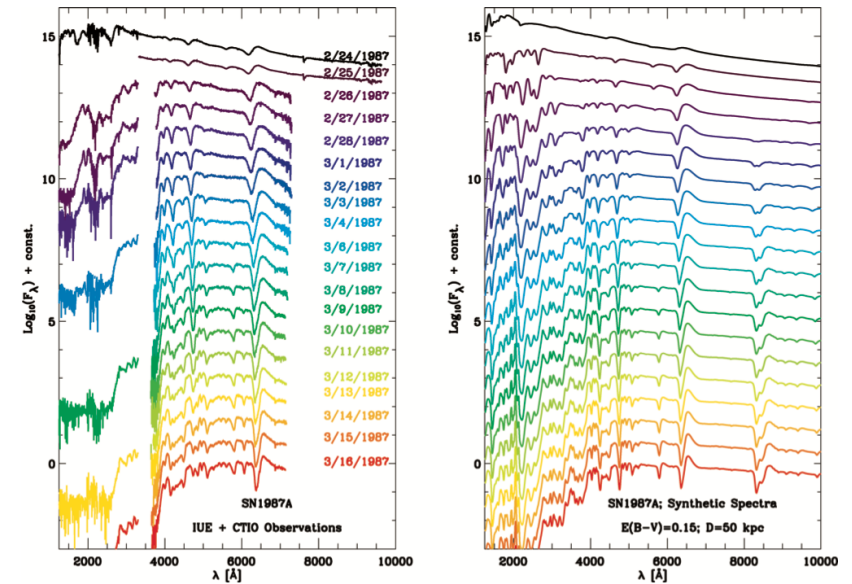
SN1987 during the first days



RSG star explosion; The first hours to days (Gezari+08)
Witnessing the acceleration and cooling of the ejecta



Dessart & Hillier 2010

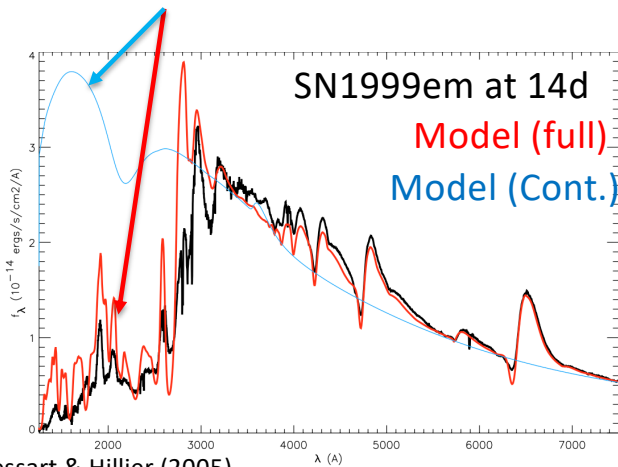


SN II at early times. No CSM

- **Method:** nonLTE steady state, 'photospheric' modeling
- Constrain photospheric properties (T , ρ , X_i , V), line formation
line blanketing, reddening

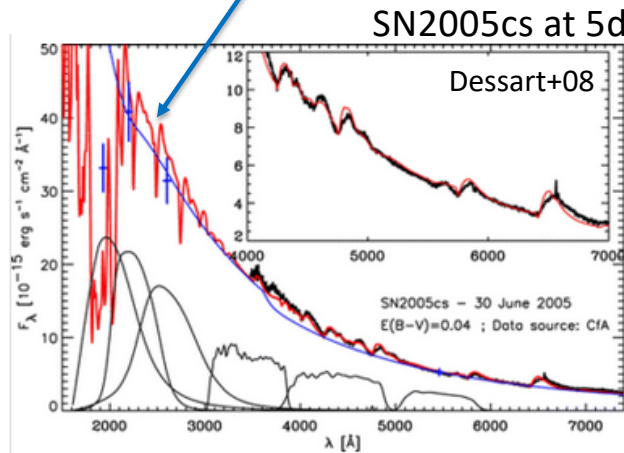
Problem: HST spectra too late (too slow response; miss UV bright phase)
or only Swift photometry

Strong influence of line blanketing in UV
Huge offset Full Flux vs Cont flux.



Dessart & Hillier (2005)

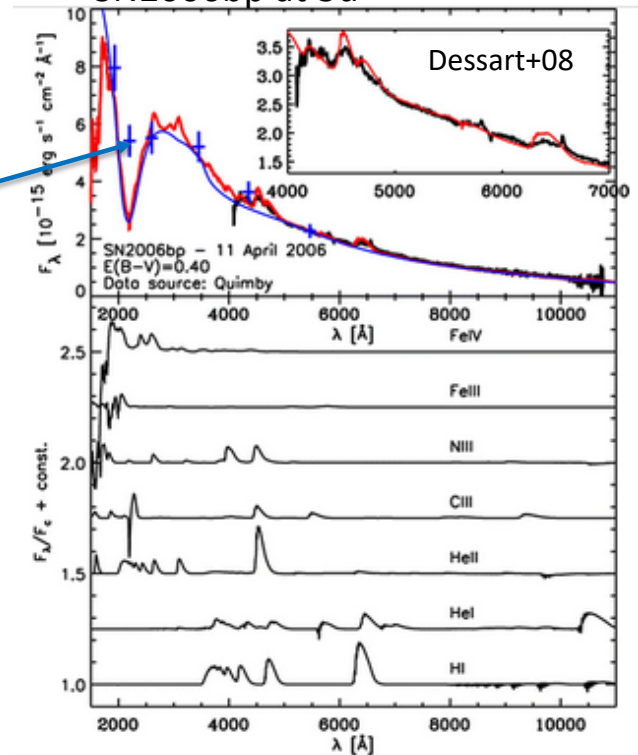
Only Swift data in UV



SN2005cs at 5d

Dessart+08

SN2006bp at 3d



Quimby+08

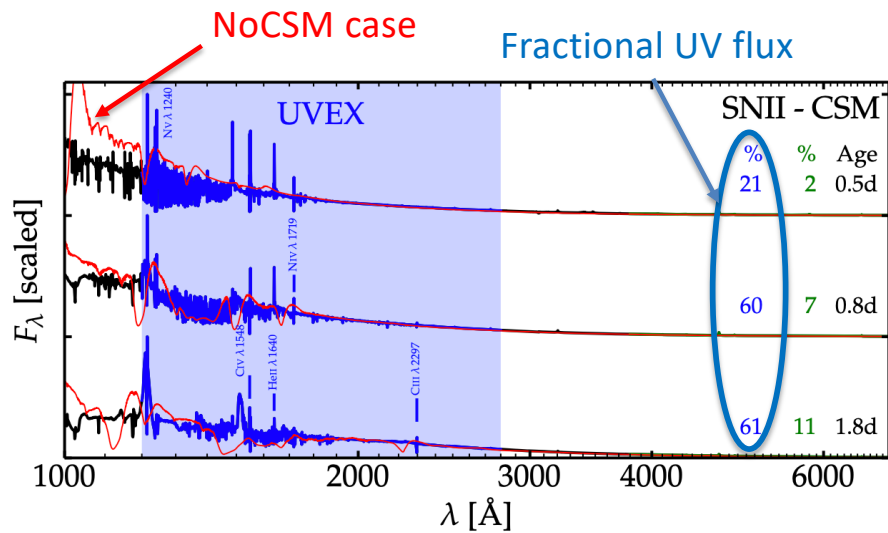
Type II SNe with CSM

Method: RHD + post-treatment with RT (Non-monotonic velocity solver)

Strong UV flux, weaker optical flux, electron-scattering broadened lines
=> Probe of CSM, mass loss, atmospheric structure

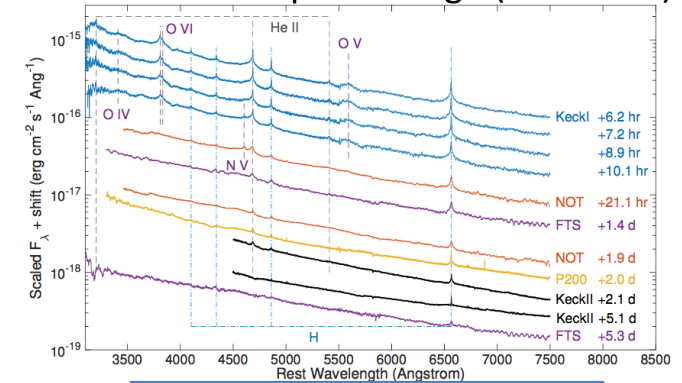
Rapid evolution on day timescale

Problems: rarely observed in optical, never observed in UV
Fast response essential

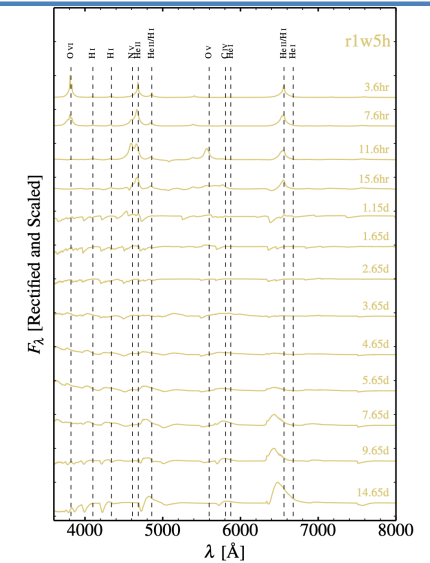


Dessart+17

SN2013fs in optical range (Yaron+17)



Simulation from Dessart+17
 $\dot{M} \sim 10^{-2} M_\odot/\text{yr}$ within $5 \times 10^{14} \text{cm}$

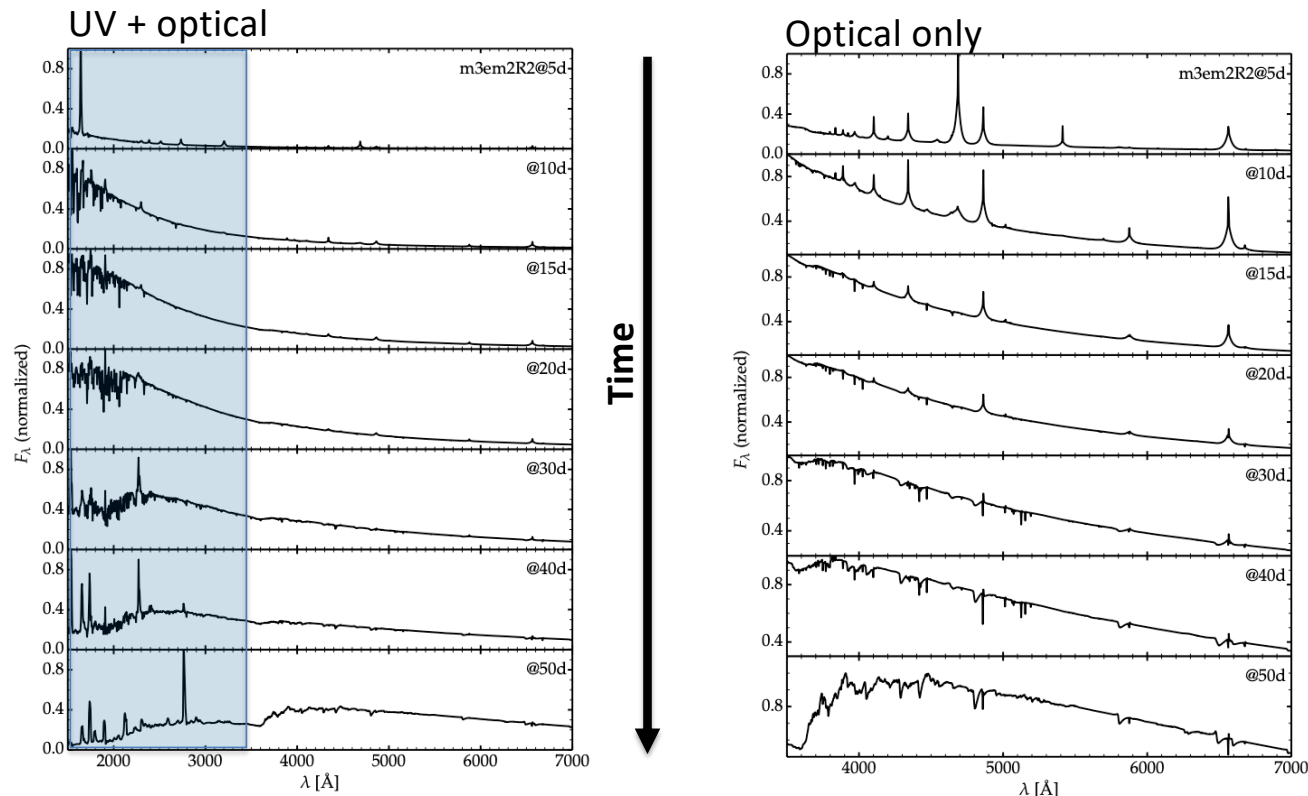


RSG star explosion in extended CSM (e.g. SN1998S)

Spectrum formation in CSM, then dense shell, then ejecta (witnessed in SN1998S)

UV flux \gg Optical Flux for many days

Never observed in UV



Unique spectral evolution

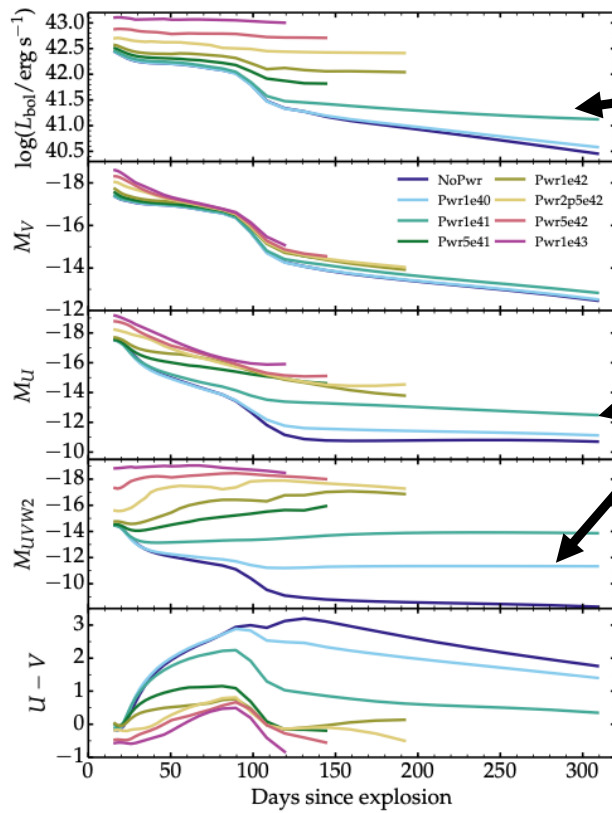
Already observed (SN1998S, Leonard+00;
SN2020tlf, Jacobson-Galan+22)

Note: Information in optical is not bad.
Rapid response is the main challenge

Time-dependent nonLTE radiative-transfer with shock power

Dessart+22

Method: nonLTE time dependent RT with constant shock power injected in outer dense shell
 Tests from 10^{40} to 10^{43} erg/s (Mdot from 10^{-6} to $10^{-3} M_{\odot}/\text{yr}$)



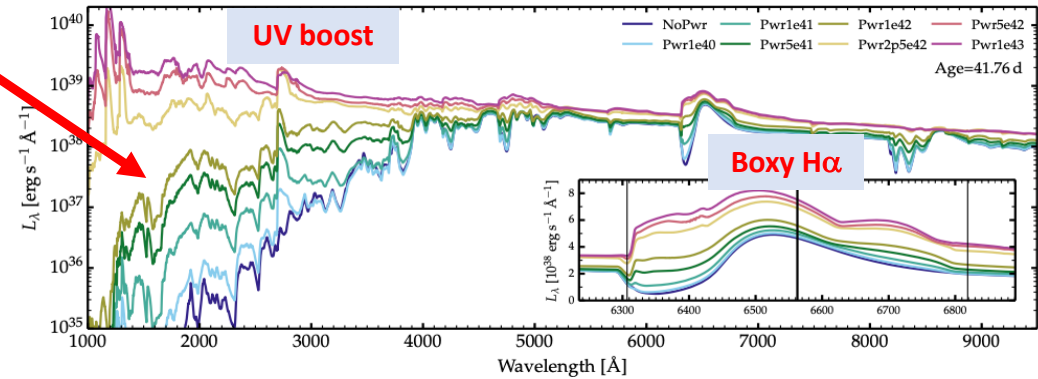
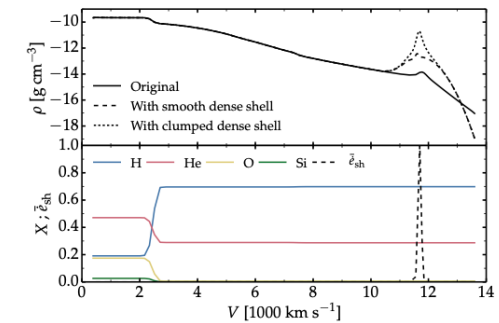
At the end,
interaction
always wins!

Weak impact in optical

Strong impact in the blue

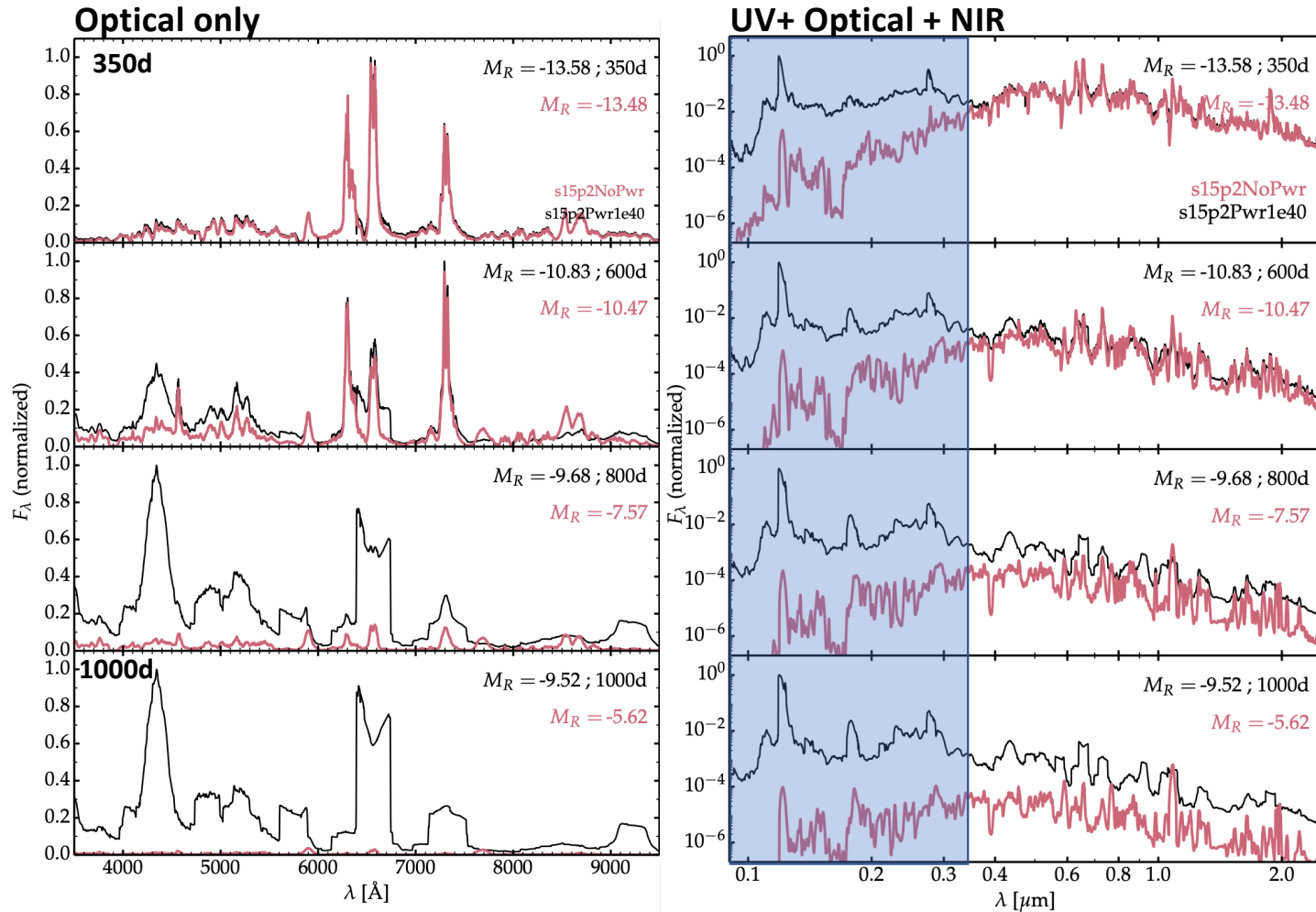
Critical information in the UV

Heuristic approach
 Inject shock power in outer dense shell



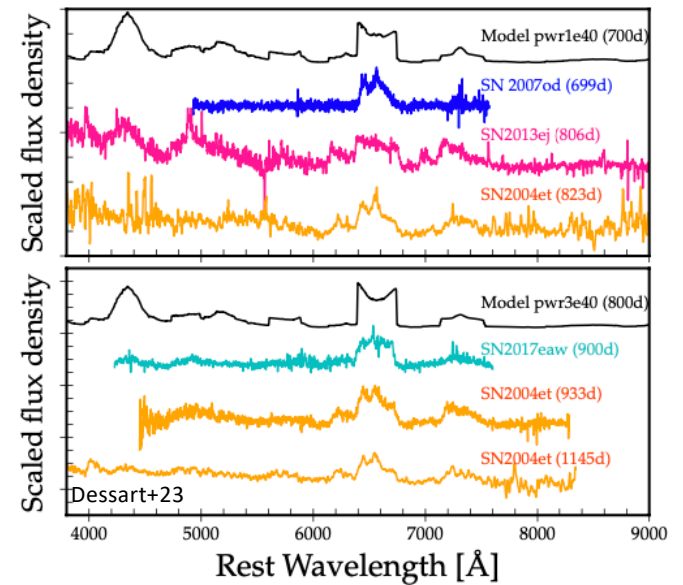
Spectroscopic evolution in UV and optical until 3 years

Model comparison with/without shock power of 10^{40} erg/s



Objects with late time interaction show H α emission
 But UV flux should be much greater
 Method needs improvement

Optical observations vs models at 3 yr



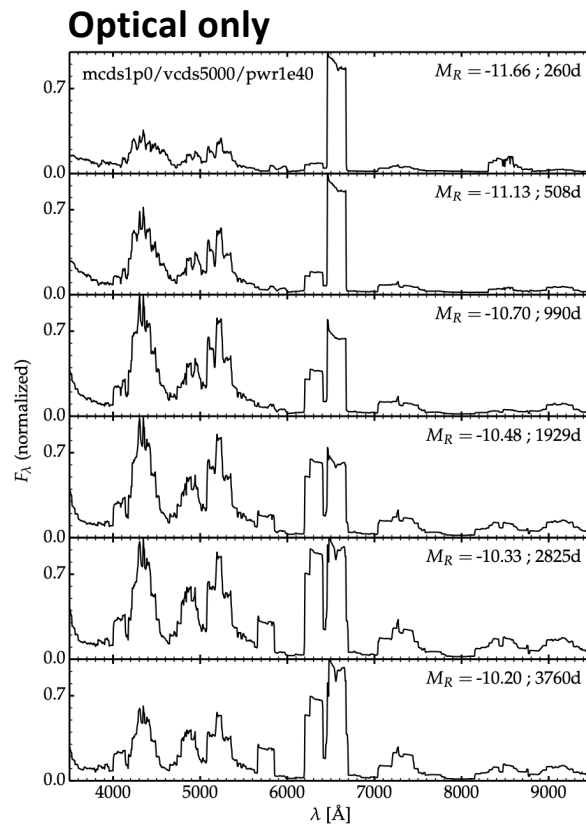
Spectroscopic evolution in UV and optical until later times

Work in progress (configuration analogous to SN1998S):

Evolution of ejecta/CSM until the birth of a remnant (10-20yr)

Shock power injected in $1M_{\text{sun}}$ dense shell => strong thermalization

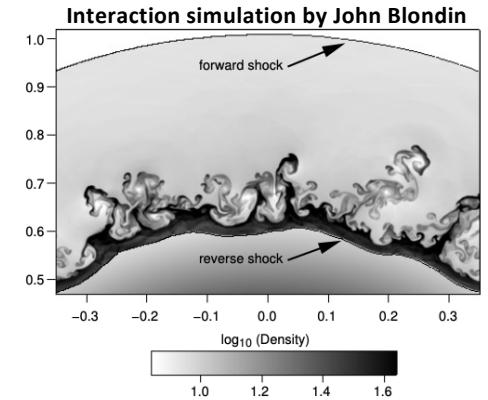
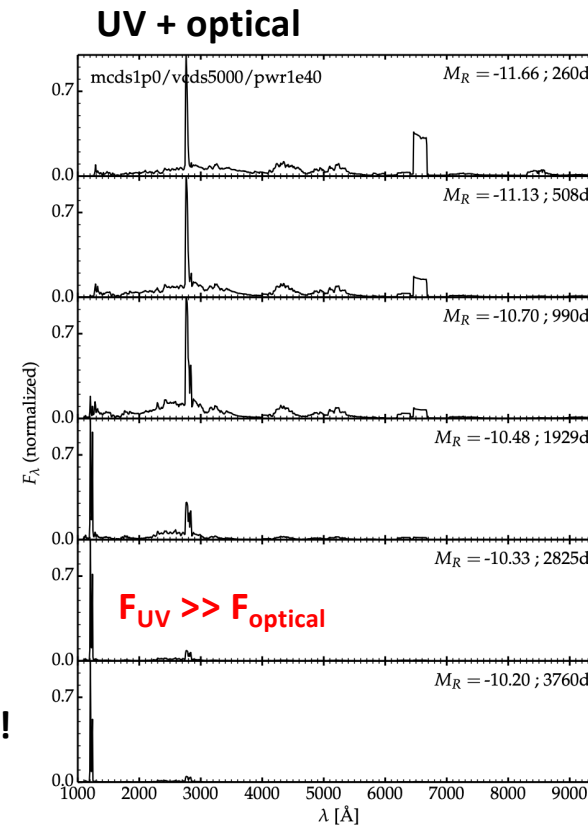
Fails to produce high ionization lines from low density CSM: more work needed



~1yr

~3yr

~10yr!



=> Need calibration with
3D RHD simulations

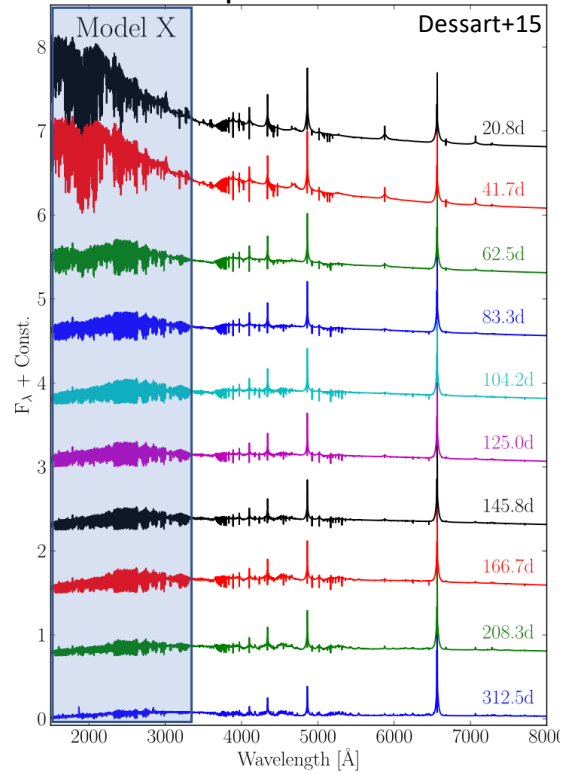
Super-luminous Type IIn SN and Type Ibn SNe

RHD + RT with non-monotonic velocity solver
 Line formation in CSM and dense shell
 Narrow lines first and broad (blue-shifted) lines later
 “Scaled-up” version of SN1998S

Type Ibn SN2020nxt

HST + ground based
 Tough to match the UV (missed early phase)
 Wang+ in prep.

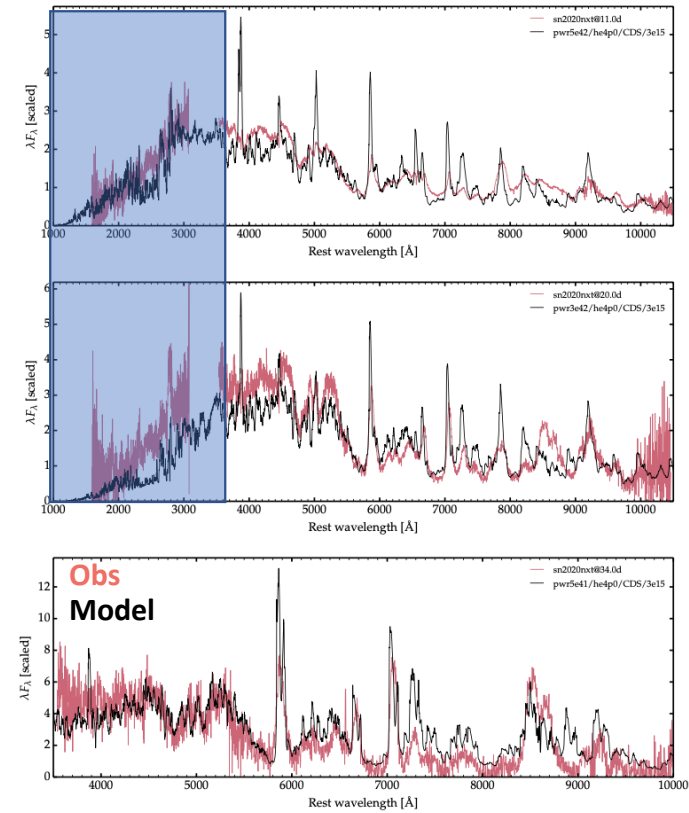
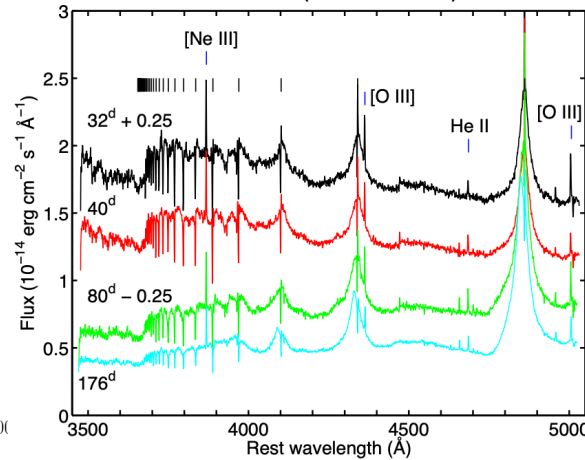
Model for explosion in a dense wind



Type IIn SN2010jl:

Model for explosion in a dense wind

Observations (Fransson+14)



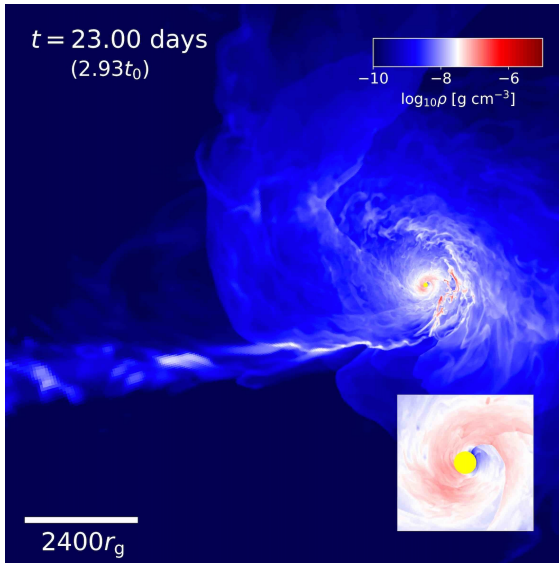
Tidal Disruption Events

Work in progress (with Taeho Ryu and Suvi Gezari)

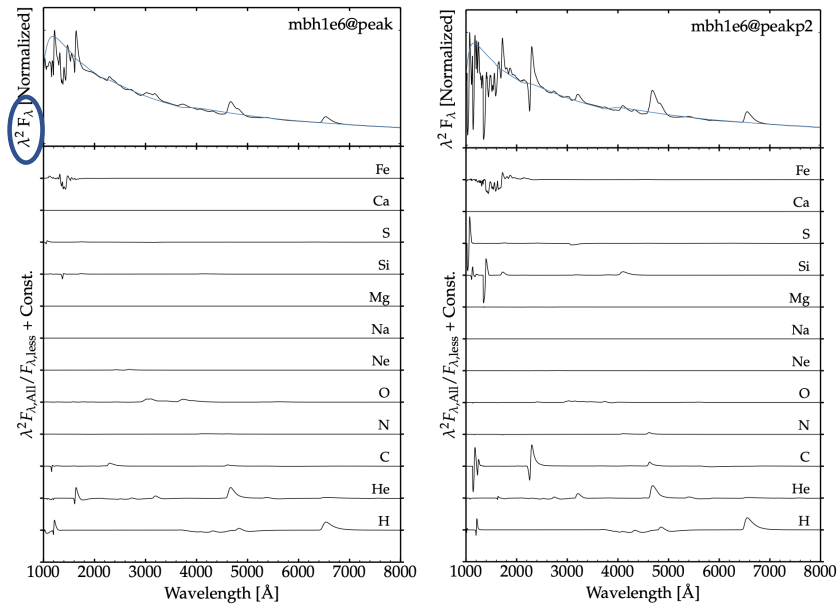
Post-process 3D GR-hydro simulations with 1D...

RT assumes steady state, $T=T_{\text{hydro}}$, Z_{\odot}

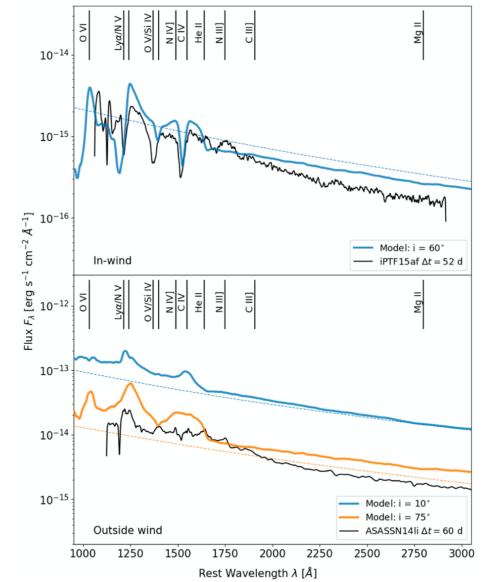
Equatorial slice through 3D GR-Hydro
of TDE of $3M_{\odot}$ star and 10^5M_{\odot} BH
Ryu+ in prep



1D RT exploration for two epochs



2D RT calculations for disk wind from TDE
(Parkinson+22)



The need for UV data

- Most Type II SNe should be very luminous in UV for days (II-P) or years (IIn)
- Line diagnostics: Resonance transitions (high density; CDS) & Forbidden lines (low density, CSM)
- Any hope to include MgII2800 in the UV spectrograph?
- UV range critical to constrain bolometric luminosity => SN 'Engine' (ideally need X-ray+UV+optical+IR)
- UV range is essential to reveal interaction => mass loss / CSM
- Rapid-response to catch the SN while hot and luminous
- Observe from early to late times (wind/CSM on all scales?)
- Follow all core-collapse SN types (-> late-time interaction)
- Strong diversity of SNe in optical => Probably huge in UV
- UV spectra: an uncharted territory for transients

Ly_alpha	NIII 1750	OIV 1342	MGII 2800
HeII 1640	NIII 1805	OIV 1407	
		OIV 2493	
HeII 3203	NIII 1885		AlIII 1860
	NIII 2740	OV 1371	
CIII 1175		OV 2785	
CIII 2297	NIV 1719		
	NIV 3480	OVI 1037	
CIV 1107		OVI 1080	
CIV 1169	NV 1240	OVI 1122	
CIV 1230		OVI 1171	
CIV 1549			
CIV 2404			
CIV 2423			

The need for UV data

But

UV range very difficult to model

Sensitive to T , ρ , ionization, composition, reddening => Strong blanketing

UV flux: Continuum vs. lines

Need for model development in coming years